

### Ukrainian National Platform SELF-ASSESSMENT REPORT

**Name of National Platform involved in the self-assessment:**

Ukrainian National Platform of the Eastern Partnership Civil Society Forum

**Name of the NP Code of Ethical Conduct advisor**

Maksym Koriavets

**Period of final self-assessment completion:**

28/11/2023 – 06/12/2023

**Link to the self-assessment form filled in by NP membership:**

<https://forms.gle/gPn3TtWWLWvKdVM28>

#### SELF-ASSESSMENT PART 1: GENERAL ASSESSMENT OF THE CODE OF ETHICAL CONDUCT AWARENESS LEVELS

|     | Question   | Survey options |        | Comments by the CoEC Advisor  |
|-----|--|----------------|--------|---|
| 1.1 | Have you consulted the CoEC during the last 1 year?                                      | Yes: 0         | No: 18 | 100,0% of UNP respondents have consulted the CoEC to be get acquainted with CoEC content.   |
| 1.2 | If you answered „yes“, please explain „why“.   | N/A            |        |   |
| 1.3 | Are you aware of the existence of the Code of Ethical Conduct Advisor(s) within your NP? | Yes: 13        | No: 5  | 72,2% are informed on the CoEC Advisor existence.<br>27,8% of respondents are still not informed on CoEC Advisor existence. It is recommended to engage more intensively available UNP communicational tools for increasing the number of UNP CoEC users / readers. Public and educational measures for increasing the awareness of UNP members on the CoEC are also eligible and relevant. |

|     |   |                  |                      |               |                   |  |
|-----|---|------------------|----------------------|---------------|-------------------|--|
| 1.4 | If you answered „yes“ at the previous question, how would you assess your level of understanding of the responsibilities of the CoEC advisor? | Poor: 0          | Fair: 3              | Good: 7       | Very good: 3      | At the same time, the level of understanding the UNP CoEC advisor responsibilities among knowledgeable respondents can be mostly assessed as good (57,9% of positive answers).   |
| 1.5 | Throughout the past year have you been in a situation that required the involvement of the CoEC advisor?                                      | Yes: 1           |                      | No: 17        |                   | 1 respondent indicated the presence of a case during the year that required the involvement of the UNP CoEC Advisor. But there were no any comments or appeals on that case or facts when any misconduct was reported within UNP during 2023.            |
| 1.6 | Do you believe that discussing ethical matters at the NP level is important?  | Yes: 16          |                      | No: 2         |                   | 88,9% of respondents believe that discussing ethical matters at the NP level is important and necessary. Negative answers are probably connected to reluctance to express personal opinion publicly (no opposite comments were provided in this regard). |
| 1.7 | How would you assess the importance of the CoEC self-assessment exercise?   | Not important: 1 | Somehow important: 3 | Important: 13 | Very important: 1 | Respondents highly assess the importance of the CoEC self-assessment exercise for UNP.   |

## SELF-ASSESSMENT PART 2: FUNDAMENTAL VALUES AND PRINCIPLES

Level of compliance scores:

- **Score 1:** Unsatisfactory level of compliance. The NP does not demonstrate the basic requirements of the CoEC standard. Needs serious improvement, if this is feasible in the specific country context.
- **Score 2:** The NP demonstrates a partial level of compliance, but needs improvement.
- **Score 3:** The NP demonstrates full compliance with the CoEC.
- **IIA:** Insufficient information available.

|                               |   | LEVEL OF COMPLIANCE SCORES |     |   |     |    |     | Comments reflecting on the received answers  |
|-------------------------------|---|----------------------------|-----|---|-----|----|-----|--|
|                               |   | 1                          | 1.5 | 2 | 2.5 | 3  | IIA |  |
| <b>2.1 FUNDAMENTAL VALUES</b> |   |                            |     |   |     |    |     |  |
| 2.1.1                         | <b>The application of human rights (equality, diversity, inclusion).</b> The NP is fully guided by human rights in its internal functioning.          | 0                          | 0   | 1 | 3   | 14 | 0   | The respondents noted high level of compliance with fundamental values and principles of the UNP.  |
| 2.1.2                         | <b>Respect for the dignity of individuals.</b> The NP's leadership and members fully respect the dignity of fellow members and external actors.       | 0                          | 1   | 0 | 4   | 13 | 0   | The level of respect for the dignity of individuals (UNP members) was also marked mostly as high.  |
| 2.1.3                         | <b>Practice of transparency.</b> The NP's leadership and members ensure the free flow of relevant information internally and with other Forum bodies. | 1                          | 0   | 0 | 6   | 11 | 0   | The level of compliance in terms of practice of transparency within UNP (free flow of relevant information internally within UNP and with other EaP CSForum bodies and members) was positively evaluated in general. |
| 2.1.4                         | <b>Practice of good governance.</b> The NP fully follows the procedures described in the Forum's Statute and internal NP rules and regulations.       | 0                          | 0   | 1 | 3   | 13 | 1   | According to responses, UNP and its leadership follows the procedures described in the Forum's and UNP's Statutes and internal UNP's rules and regulations.  |

|       |  |   |   |   |   |    |   |  |
|-------|--|---|---|---|---|----|---|--|
|       |  |   |   |   |   |    |   | regulations on fair and good level without any negative comments and evidences.  |
| 2.1.5 | <b>Promotion of diversity and inclusiveness.</b> The NP fully promotes diversity in its membership and activities (i.e. gender, ethnicity, social background, disability and other factors). | 1 | 0 | 1 | 4 | 10 | 2 | Diversity and inclusiveness are respected by UNP members and governing bodies in general (according to the answers of major of respondents).   |
| 2.1.6 | <b>Promotion of gender equality.</b> The NP makes a conscious effort to ensure a balance of women and men in its leadership and programs.  | 1 | 0 | 0 | 5 | 10 | 2 | Gender equality is respected by UNP members and governing bodies. Most of respondents indicated “2,5” and “3” scores for the statements that UNP fully promotes principles gender equality in its membership and activities.   |
| 2.1.7 | <b>Promotion of democratic ownership and participation.</b> The NP's members own their decision-making processes and activities.   | 0 | 1 | 0 | 4 | 13 | 0 | The promotion of democratic ownership and participation also has high level of compliance with codes of ethical conduct (UNP and CSF). It is sensible to implement activities aimed at making UNP members to feel their own on decision-making processes, activities and outcomes within the platform. |
| 2.1.8 | <b>Promotion of environmental sustainability.</b> The NP makes a conscious effort to promote environmental sustainability in its activities.   | 0 | 1 | 1 | 4 | 12 | 0 | The promotion of environmental sustainability is practiced in the UNP in terms of consideration of environmental issues in activities and project proposals.   |
| 2.1.9 | <b>Opposition to corruption and other illegalities.</b> The NP is vigilant in preventing and detecting all forms of corruption and other improprieties.                                      | 0 | 2 | 1 | 3 | 11 | 1 | The level of opposition to corruption and other illegalities or improprieties within the UNP's functioning was mostly evaluated with “2,5” (3 answers) and “3” (11 answers) scores - these are high indicators.  |
|       |  |   |   |   |   |    |   |  |

| 2.2 FUNDAMENTAL PRINCIPLES        |   |   |   |   |   |    |   |  |
|-----------------------------------|---|---|---|---|---|----|---|--|
| 2.2.1                             | <b>Independence.</b> NP bodies and members make decisions independently from government agencies, religion and ideology.                            | 0 | 1 | 2 | 2 | 12 | 1 | According to the most of respondents' rates, UNP bodies and members make decisions independently from government agencies, religion and ideology.                                  |
| 2.2.2                             | <b>Non-profit principles.</b> NP members represent CSOs that are bona fide not-for-profit, independent and voluntary entities.                      | 0 | 1 | 1 | 3 | 10 | 3 | According to respondents' rates majority of UNP members represent CSOs that are bona fide not-for-profit, independent and voluntary entities. Negative answers were not evidenced. |
| 2.3 ETHICAL CONDUCT WITHIN THE NP |   |   |   |   |   |    |   |  |
| 2.3.1                             | <b>Respect</b>  |   |   |   |   |    |   |  |
| 2.3.1.1                           | NP members behave respectfully towards each other within the NP and with external stakeholders.   | 0 | 0 | 3 | 8 | 7  | 0 | In general, UNP members behave respectfully towards each other within the UNP and with external stakeholders.  |
| 2.3.1.2                           | NP as a whole and its members do not make disparaging remarks based on nationality, ethnicity, gender, culture and beliefs.                         | 0 | 0 | 2 | 4 | 11 | 1 | The grounds of nationality, ethnicity, culture, gender and beliefs are respected within UNP, according to marked scores.   |
| 2.3.1.3                           | NP members fully respect diversity of thinking and other differences among members.   | 0 | 0 | 0 | 3 | 13 | 2 | Diversity of thinking and differences among fellow members are respected within UNP, according to marked scores.   |
| 2.3.2                             | <b>Conflicts of interest.</b> The principle of the avoidance of the conflict of interest by the NP bodies and its members is practises and enforced | 0 | 0 | 0 | 3 | 13 | 2 | There were no any concrete facts regarding conflicts of interest within the UNP indicated by survey respondents.   |
|                                   |   |   |   |   |   |    |   |  |

| 2.4 EXTERNAL ACTIVITIES |   |   |   |   |   |    |   |   |
|-------------------------|---|---|---|---|---|----|---|---|
| 2.4.1                   | <b>Political activity.</b>  |   |   |   |   |    |   |   |
| 2.4.1.1                 | NP members represent CSOs that are not affiliated with a political party or coalition.  | 0 | 1 | 1 | 3 | 7  | 6 | During UNP history there were cases of affiliation of some UNP members with political parties, but at the moment of research there were no concrete evidences of affiliation of certain CSOs with any political party or coalition provided.<br><br>In general, UNP explicitly independent of party politics which is evidenced by survey results. Negative answers were not explained and evidenced. A large number of "IIA" answers to the question 2.4.1.2 requires conducting actions to re-verify individual UNP members for belonging to any political institutions. For this case the re-verification report should be presented to all UNP members. |
| 2.4.1.2                 | None of the NP's individual members are affiliated with any political party/coalition in an official position.  | 0 | 0 | 0 | 7 | 3  | 8 |   |
| 2.4.1.3                 | The NP is explicitly independent of party politics when undertaking its activities  | 0 | 0 | 0 | 3 | 14 | 1 |   |
| 2.4.2                   | <b>Financial activities and solicitations.</b>  |   |   |   |   |    |   |   |
| 2.4.2.1                 | <b>Fundraising principles.</b> The NP's fundraising practices focus solely on the Forum's/NP's mission, without improper motive, inappropriate conduct or personal benefit. | 0 | 0 | 0 | 2 | 15 | 1 | UNP's grantmaking and fundraising activity was largely recognized as such that meets the codes of ethical conduct of the EaP CSF and UNP.   |
| 2.4.2.2                 | <b>Grant commitment.</b> The NP fully honors approved grants in an ethical and legal manner.  | 0 | 0 | 0 | 1 | 16 | 1 |   |

| 2.5 REPORTING MISCONDUCT |  | Circle the appropriate response |        |
|--------------------------|--|---------------------------------|--------|
| 2.5.1                    | Have you witnessed or been a victim of any form of ethical misconduct during the past 12 months?   | No: 18                          | Yes: 0 |
| 2.5.2                    | Was the misconduct reported (whether to the CSF Compliance Committee, National Platform board, NP compliance committee, CSF Steering Committee or Brussels-based Secretariat?) | No: 13                          | Yes: 1 |

### Comments on Section “Reporting misconduct”

1 respondent was supposed to report on ethical misconduct within UNP during 2021 according to the answer, but no concrete evidence or explanation was provided by the respondent regarding this.

### Prioritizing issues which need attention over the next 12 months

| Question number | Summary of issue   | Steps to be taken to improve compliance   | Current score | Benchmark score |
|-----------------|--|---|---------------|-----------------|
| 2.4.1.1         | NP members represent CSOs that are not affiliated with a political party or coalition.                         | A large number of “IIA” answers requires conducting actions to re-verify individual UNP members for belonging to any political institutions. For this case the re-verification report should be presented to all UNP members. | IIA: 11       | IIA: 1          |
| 2.4.1.2         | None of the NP’s individual members are affiliated with any political party/coalition in an official position. |   | IIA: 15       | IIA: 2          |

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