

Ukrainian National Platform SELF-ASSESSMENT REPORT

Name of National Platform involved in the self-assessment:

Ukrainian National Platform of the Eastern Partnership Civil Society Forum

Name of the NP Code of Ethical Conduct advisor

Maksym Koriavets

Period of final self-assessment completion:

26/01/2023 – 07/02/2023

Link to the self-assessment form filled in by NP membership:

<https://forms.gle/dxUZSF6K7e4J59KfA>

SELF-ASSESSMENT PART 1: GENERAL ASSESSMENT OF THE CODE OF ETHICAL CONDUCT AWARENESS LEVELS

	Question	Survey options		Comments by the CoEC Advisor
1.1	Have you consulted the CoEC during the last 1 year?	Yes: 26	No: 1	96,3% of UNP respondents have consulted the CoEC to be get acquainted with CoEC content.
1.2	If you answered „yes“, please explain „why“.	The only answer was connected to the need to be familiar to the document.		
1.3	Are you aware of the existence of the Code of Ethical Conduct Advisor(s) within your NP?	Yes: 17	No: 10	63% are informed on the CoEC Advisor existence. 37% of respondents are still not informed on CoEC Advisor existence. It is recommended to engage more intensively available UNP communicational tools for increasing the number of UNP CoEC users / readers. Public and educational measures for increasing the

						awareness of UNP members on the CoEC are also eligible and relevant.
1.4	If you answered „yes“ at the previous question, how would you assess your level of understanding of the responsibilities of the CoEC advisor?	Poor: 5	Fair: 3	Good: 7	Very good: 4	At the same time, the level of understanding the UNP CoEC advisor responsibilities among knowledgeable respondents can be mostly assessed as good (57,9% of positive answers). But there is a need for additional awareness-raising event.
1.5	Throughout the past year have you been in a situation that required the involvement of the CoEC advisor?	Yes: 27		No: 0		There were no any comments on that cases or facts when any misconduct was reported within UNP during 2022.
1.6	Do you believe that discussing ethical matters at the NP level is important?	Yes: 25		No: 2		92,6% of respondents believe that discussing ethical matters at the NP level is important and necessary. Negative answers are probably connected to reluctance to express personal opinion publicly (no opposite comments were provided in this regard).
1.7	How would you assess the importance of the CoEC self-assessment exercise?	Not important: 1	Somehow important: 7	Important: 18	Very important: 1	Respondents highly assess the importance of the CoEC self-assessment exercise for UNP.

SELF-ASSESSMENT PART 2: FUNDAMENTAL VALUES AND PRINCIPLES

Level of compliance scores:

- **Score 1:** Unsatisfactory level of compliance. The NP does not demonstrate the basic requirements of the CoEC standard. Needs serious improvement, if this is feasible in the specific country context.
- **Score 2:** The NP demonstrates a partial level of compliance, but needs improvement.
- **Score 3:** The NP demonstrates full compliance with the CoEC.

- **IIA:** Insufficient information available.
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		LEVEL OF COMPLIANCE SCORES						Comments reflecting on the received answers
		1	1.5	2	2.5	3	IIA	
2.1 FUNDAMENTAL VALUES								
2.1.1	The application of human rights (equality, diversity, inclusion). The NP is fully guided by human rights in its internal functioning.	0	2	2	6	10	7	The respondents noted high level of compliance with fundamental values and principles of the UNP.
2.1.2	Respect for the dignity of individuals. The NP's leadership and members fully respect the dignity of fellow members and external actors.	0	2	2	7	14	2	The level of respect for the dignity of individuals (UNP members) was also marked mostly as high.
2.1.3	Practice of transparency. The NP's leadership and members ensure the free flow of relevant information internally and with other Forum bodies.	0	3	6	4	11	3	The level of compliance in terms of practice of transparency within UNP (free flow of relevant information internally within UNP and with other EaP CSForum bodies and members) was positively evaluated in general.
2.1.4	Practice of good governance. The NP fully follows the procedures described in the Forum's Statute and internal NP rules and regulations.	0	2	2	9	13	1	According to responses, UNP and its leadership follows the procedures described in the Forum's and UNP's Statutes and internal UNP's rules and regulations on fair and good level without any negative comments and evidences.
2.1.5	Promotion of diversity and inclusiveness. The NP fully promotes diversity in its membership and activities (i.e. gender, ethnicity, social background, disability and other factors).	1	2	0	9	12	3	Diversity and inclusiveness are respected by UNP members and governing bodies in general (according to the answers of major of respondents).

2.1.6	Promotion of gender equality. The NP makes a conscious effort to ensure a balance of women and men in its leadership and programs.	0	2	2	7	12	4	Gender equality is respected by UNP members and governing bodies. Most of respondents indicated “2,5” and “3” scores for the statements that UNP fully promotes principles gender equality in its membership and activities.
2.1.7	Promotion of democratic ownership and participation. The NP's members own their decision-making processes and activities.	1	2	3	5	13	3	The promotion of democratic ownership and participation also has high level of compliance with codes of ethical conduct (UNP and CSF). It is sensible to implement activities aimed at making UNP members to feel their own on decision-making processes, activities and outcomes within the platform.
2.1.8	Promotion of environmental sustainability. The NP makes a conscious effort to promote environmental sustainability in its activities.	1	1	4	3	10	8	The promotion of environmental sustainability is practiced in the UNP in terms of consideration of environmental issues in activities and project proposals.
2.1.9	Opposition to corruption and other illegalities. The NP is vigilant in preventing and detecting all forms iif corruption and other improprieties.	2	2	3	6	7	7	The level of opposition to corruption and other illegalities or improprieties within the UNP’s functioning was mostly evaluated with “2,5” (6 answers) and “3” (7 answers) scores. The existence of 7 “IIA” answers prove that there is a need for more intensive popularizing the UNP’s activity results among members in order increase the level of transparency (it should be considered that UNP makes proper comprehensive periodic reports on its activity in accordance with all Forum’s policies and procedures and internal policies - it's about increasing popularizing activities).

2.2 FUNDAMENTAL PRINCIPLES								
2.2.1	Independence. NP bodies and members make decisions independently from government agencies, religion and ideology.	1	1	3	3	14	5	According to respondents' rates, UNP bodies and members make decisions independently from government agencies, religion and ideology.
2.2.2	Non-profit principles. NP members represent CSOs that are bona fide not-for-profit, independent and voluntary entities.	1	1	1	5	11	8	According to respondents' rates majority of UNP members represent CSOs that are bona fide not-for-profit, independent and voluntary entities. Negative answers were not evidenced.
2.3 ETHICAL CONDUCT WITHIN THE NP								
2.3.1	Respect							
	2.3.1.1 NP members behave respectfully towards each other within the NP and with external stakeholders.	0	2	3	7	12	3	In general, UNP members behave respectfully towards each other within the UNP and with external stakeholders.
	2.3.1.2 NP as a whole and its members do not make disparaging remarks based on nationality, ethnicity, gender, culture and beliefs.	1	1	2	3	18	2	The grounds of nationality, ethnicity, culture, gender and beliefs are respected within UNP, according to marked scores. Negative answers were not explained and evidenced.
	2.3.1.3 NP members fully respect diversity of thinking and other differences among members.	1	1	2	6	13	4	Diversity of thinking and differences among fellow members are respected within UNP, according to marked scores. Negative answers were not explained and evidenced.
2.3.2	Conflicts of interest. The principle of the avoidance of the conflict of interest by the NP	1	3	2	3	10	8	There were no any concrete facts regarding conflicts of interest within the UNP indicated by survey respondents. But the existence of 8 "IIA"

	bodies and its members is practises and enforced								answers evidences that there is a necessity for additional explaining the existing mechanisms of avoidance of conflicts of interest inside UNP (through informational sessions / events on CoEC awareness with explanations on how UNP actually acts in the light of the most sensitive CoEC statements).
2.4 EXTERNAL ACTIVITIES									
2.4.1	Political activity.								
2.4.1.1	NP members represent CSOs that are not affiliated with a political party or coalition.	0	2	1	3	10	11	During UNP history there were cases of affiliation of some UNP members with political parties, but at the moment of research there were no concrete evidences of affiliation of certain CSOs with any political party or coalition provided.	
2.4.1.2	None of the NP's individual members are affiliated with any political party/coalition in an official position.	0	3	1	4	4	15	In general, UNP explicitly independent of party politics which is evidenced by survey results. Negative answers were not explained and evidenced. A large number of "IIA" answers requires conducting actions to re-verify individual UNP members for belonging to any political institutions. For this case the re-verification report should be presented to all UNP members.	
2.4.1.3	The NP is explicitly independent of party politics when undertaking its activities	0	3	2	1	13	8		
2.4.2	Financial activities and solicitations.								
2.4.2.1	Fundraising principles. The NP's fundraising practices focus solely	0	2	2	7	10	6	UNP's grantmaking and fundraising activity was largely recognized as such that meets the codes	

	on the Forum's/NP's mission, without improper motive, inappropriate conduct or personal benefit.								of ethical conduct of the EaP CSF and UNP. Negative answers connected with the fact that most of UNP members did not deal with respective issues in practice.
2.4.2.2	Grant commitment. The NP fully honors approved grants in an ethical and legal manner.	1	1	1	4	16	4		

2.5 REPORTING MISCONDUCT		Circle the appropriate response			
2.5.1	Have you witnessed or been a victim of any form of ethical misconduct during the past 12 months?	No: 22	Yes: 1		IIA ¹ : 4
2.5.2	Was the misconduct reported (whether to the CSF Compliance Committee, National Platform board, NP compliance committee, CSF Steering Committee or Brussels-based Secretariat?)	No: 26	Yes: 0		IIA: 1
2.5.3	Was the reporting taken seriously?	No: 4	Yes: 1	Partly: 1	IIA: 13
2.5.4	Was the misconduct dealt with satisfactorily?	No: 2	Yes: 2	Partly: 1	IIA: 15

Comments on Section “Reporting misconduct”

1 respondent witnessed ethical misconduct within UNP during 2021 according to the answer, but no concrete evidence or explanation was provided by the respondent regarding this. None of the members have ever reported about misconduct within the UNP during 2022.

The rate of «IIA» answers confirms the necessity for additional external tool for evaluation of CoEC understanding.

Prioritizing issues which need attention over the next 12 months

¹ IIA – Insufficient Information Available

Question number	Summary of issue	Steps to be taken to improve compliance	Current score	Benchmark score
2.1.8	Promotion of environmental sustainability. The NP makes a conscious effort to promote environmental sustainability in its activities.	There is a need to continue intensive popularizing the UNP's activity results among members in order increase the level of transparency (it should be taken into account that UNP makes proper comprehensive periodic reports on its activity in accordance with all Forum's policies and procedures and internal policies - it's about increasing popularizing activities).	IIA: 8	IIA: 1
2.1.9	Opposition to corruption and other illegalities. The NP is vigilant in preventing and detecting all forms of corruption and other improprieties.		IIA: 7	IIA: 1
2.3.2	Conflicts of interest. The principle of the avoidance of the conflict of interest by the NP bodies and its members is practised and enforced	But the existence of 8 "IIA" answers evidences that there is a necessity for additional explaining the existing mechanisms of avoidance of conflicts of interest inside UNP (through informational sessions / events on CoEC awareness with explanations on how UNP actually acts in the light of the most sensitive CoEC statements). Organizational-communicational rules and regulations of UNP should be also popularized.	IIA: 8	IIA: 0
2.4.1.1	NP members represent CSOs that are not affiliated with a political party or coalition.	A large number of "IIA" answers requires conducting actions to re-verify individual UNP members for belonging to any political institutions. For this case the re-verification report should be presented to all UNP members.	IIA: 11	IIA: 1
2.4.1.2	None of the NP's individual members are affiliated with any political party/coalition in an official position.		IIA: 15	IIA: 2
2.4.1.3	The NP is explicitly independent of party politics when undertaking its activities		IIA: 8	IIA: 0

Large number of "IIA" answers prove that there is a need to create additional conditions for sharing of knowledge and mutual learning within UNP. UNP organizational-communicational rules, membership policy and other regulations of UNP should be explained to the general membership to the greater extent. The rate of «IIA» answers confirms the necessity for additional external tool for evaluation of CoEC understanding.